



# Relationship Disclosure

**Agora Dealer Services Corp.**  
6285 Northam Drive, Suite 100, Mississauga, ON L4V 1X5  
Toll Free: 1-855-GO-AGORA (462-4672)  
Trade Fax: 1-888-642-4312

## 1. ROLE OF MY ADVISOR

My advisor is responsible for working with me to understand and help me achieve my investment objectives by providing me with investment advice.

## 2. ROLE OF MY DEALER

Canadian securities regulations require that my advisor work under the authority of a dealer. My Dealer (the Introducing Dealer) and Agora Dealer Services Corp. (ADSC) have entered into an Introducing/Carrying Dealer Arrangement. My Dealer is responsible for the opening and approval of new accounts and for the supervising my advisor including ensuring that investments and trading activity in my account are suitable for me.

## 3. ROLE OF ADSC

ADSC, as a Carrying Dealer on this account, is responsible for trade execution and for the settling of trades (both of which my dealer may do in certain circumstances) and custody of my cash and my securities.

ADSC is responsible for issuing account statements and trade confirmations and for most tax reporting. Nevertheless, for mutual fund transactions, ADSC relies on the manager of the mutual fund to issue trade confirmations as permitted by MFDA Rules.

ADSC does not give investment advice and does not determine the suitability of my investments and is not responsible for and does not supervise any investment advice given to me by my advisor or my Dealer. Also, ADSC is under no duty to evaluate the appropriateness, accuracy or quality of any instructions received by me, my advisor or my employer for group accounts.

ADSC may pay a portion of its fees to my Dealer and my Dealer may pay a portion of its fees to ADSC. Fees for carrying dealer services and for trustee services ADSC arranges to be provided by the Trustee are paid by me in accordance with the ADSC Fee Schedule and/or are paid by my Dealer.